

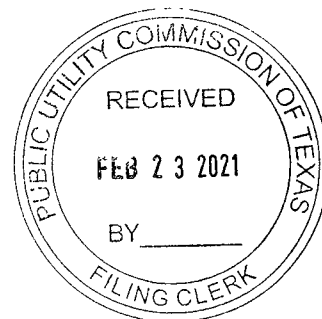


Control Number: 51415



Item Number: 204

Addendum StartPage: 0



**SOAH DOCKET NO. 473-21-0538**

**PUC DOCKET NO. 51415**

<b>APPLICATION OF SOUTHWESTERN</b>	)	<b>BEFORE THE PUBLIC UTILITY</b>
<b>ELECTRIC POWER COMPANY FOR</b>	)	<b>COMMISSION OF TEXAS</b>
<b>AUTHORITY TO CHANGE RATES</b>	)	
	)	<b>REFERRED TO THE STATE OFFICE</b>
	)	<b>OF ADMINISTRATIVE HEARINGS</b>

**SIERRA CLUB'S THIRD SET OF REQUESTS FOR INFORMATION TO  
SOUTHWESTERN ELECTRIC POWER COMPANY**

Sierra Club submits this Third Set of Requests for Information ("RFI") to Southwestern Electric Power Company ("SWEPCO"). Under 16 TAC §§ 22.141-145, Sierra Club requests that SWEPCO provide the following information and answer the following questions under oath. Please answer the questions and sub-questions in the order in which they are listed and in sufficient detail to provide a complete and accurate answer to the question. These question(s) are continuing in nature, and if there is a relevant change in circumstances, submit an amended answer, under oath, as a supplement to your original answer. Please state the name of the witness in this proceeding who will sponsor the answer to the question.

Responses to the RFIs should be served on the following individuals within twenty (20) days of service, or by March 15, 2021, or as modified by order in this case:

Joshua Smith  
Tony Mendoza  
Sierra Club Environmental Law Program  
2101 Webster St., Suite 1300  
Oakland, CA 94612  
joshua.smith@sierraclub.org  
tony.mendoza@sierraclub.org

204

## **DEFINITIONS**

Unless otherwise specified in each individual interrogatory or request, “you,” “your,” the “Company,” or “SWEPCO,” refers to Southwestern Electric Power Company, and its affiliates, directors, officers, employees, consultants, attorneys, and authorized agents.

“And” and “or” shall be construed either conjunctively or disjunctively as required by the context to bring within the scope of these interrogatories and requests for production of documents any information which might be deemed outside their scope by another construction.

“Any” means all, each and every example of the requested information.

“Communication” means any transmission or exchange of information between two or more persons, whether orally or in writing, and includes, without limitation, any conversation or discussion by means of letter, telephone, note, memorandum, telegraph, telex, telecopy, cable, email, or any other electronic or other medium.

“Control” means, without limitation, that a document is deemed to be in your control if you have the right to secure the document or a copy thereof from another person or public or private entity having actual possession thereof. If a document is responsive to a request, but is not in your possession or custody, identify the person with possession or custody. If any document was in your possession or subject to your control, and is no longer, state what disposition was made of it, by whom, the date on which such disposition was made, and why such disposition was made.

“Document” refers to written matter of any kind, regardless of its form, and to information recorded on any storage medium, whether in electrical, optical or electromagnetic form, and capable of reduction to writing by the use of computer hardware and software, and includes all copies, drafts, proofs, both originals and copies either (1) in the possession, custody or control of the Company regardless of where located, or (2) produced or generated by, known to or seen by the Company, but now in their possession, custody or control, regardless of where located whether or still in existence. Such “documents” shall include, but are not limited to, applications, permits, monitoring reports, computer printouts, contracts, leases, agreements, papers, photographs, tape recordings, transcripts, letters or other forms of correspondence, folders or similar containers, programs, telex, TWX and other teletype communications,

memoranda, reports, studies, summaries, minutes, minute books, circulars, notes (whether typewritten, handwritten or otherwise), agenda, bulletins, notices, announcements, instructions, charts, tables, manuals, brochures, magazines, pamphlets, lists, logs, telegrams, drawings, sketches, plans, specifications, diagrams, drafts, books and records, formal records, notebooks, diaries, registers, analyses, projections, email correspondence or communications and other data compilations from which information can be obtained (including matter used in data processing) or translated, and any other printed, written, recorded, stenographic, computer-generated, computer-stored, or electronically stored matter, however and by whomever produced, prepared, reproduced, disseminated or made. For purposes of the production of “documents,” the term shall include copies of all documents being produced, to the extent the copies are not identical to the original, thus requiring the production of copies that contain any markings, additions or deletions that make them different in any way from the original.

“Identify” means:

- a. With respect to a person, to state the person’s name, address and business relationship (e.g., “employee”) vis-à-vis the Company;
- b. With respect to a document, to state the nature of the document in sufficient detail for identification in a request for production, its date, its author, and to identify its custodian. If the information or document identified is recorded in electrical, optical or electromagnetic form, identification includes a description of the computer hardware or software required to reduce it to readable form.

“Person” means, without limitation, every natural person, corporate entity, partnership, association (formal or otherwise), joint venture, unit operation, cooperative, municipality, commission, governmental body or agency.

“Relating to” or “concerning” means and includes pertaining to, referring to, or having as a subject matter, directly or indirectly, expressly or implied, the subject matter of the specific request.

“Workpapers” are defined as original, electronic, machine-readable, unlocked, unlocked, in native format, and with formulae and links intact.

## **INSTRUCTIONS**

1. The Definitions, Instructions, and Claim of Privilege set out in this Request for Information apply to these questions.
2. In answering these questions, furnish all information that is available to you, including information in the possession of your agents, employees, and representatives, all others from whom you may freely obtain it, and your attorneys and their investigators.
3. Please answer each question based upon your knowledge, information, or belief, and any answer that is based upon information or belief should state that it is given on that basis.
4. If you have possession, custody, or control (as defined by Tex. R. Civ. P. 192.7(b)) of the originals of these documents requested, please produce the originals or a complete copy of the originals and all copies that are different in any way from the original, whether by interlineation, receipt stamp, or notation.
5. If you do not have possession, custody, or control of the originals of the documents requested, please produce copies of the documents, however made, in your possession, custody, or control. If any document requested is not in your possession or subject to your control, please explain why not, and give the present location and custodian of any copy or summary of the document.
6. If any question appears confusing, please request clarification from the undersigned counsel.
7. In providing your responses, please start each response on a separate page and type, at the top of the page, the question that is being answered.
8. As part of the response to each question, please state, at the bottom of the answer, the name and job position of each person who participated in any way, other than providing clerical assistance, in the preparing of the answer. If the question has sub-parts, please identify the person or persons by sub-part. Please also state the name of the witness in this docket who will sponsor the answer to the question and who can vouch for the truth of the answer. If the question has sub-parts, please identify the witness or witnesses by sub-part.

9. Rather than waiting to provide all of the responses at the same time, please provide individual responses as each becomes available.

10. Wherever the response to a request for information consists of a statement that the requested information is already available to Sierra Club, please provide a detailed citation to the document that contains the information. The citation shall include the title of the document, relevant page number(s), and to the extent possible paragraph number(s) and/or chart(s)/table(s)/figure number(s).

11. In the event that any document referred to in response to any request for information has been destroyed, specify the date and the manner of such destruction, the reason for such destruction, the person authorizing the destruction and the custodian of the document at the time of its destruction.

12. These questions are continuing in nature. If there is a change in circumstances or facts or if you receive or generate additional information that changes your answer between the time of your original response and the time of the hearings, then you should submit, under oath, a supplemental response to your earlier answer.

13. If you consider any question to be unduly burdensome, or if the response would require the production of a voluminous amount of material, please call the undersigned counsel as soon as possible in order to discuss the situation and to try to resolve the problem. Likewise, if you object to any of the questions on the grounds that the question seeks confidential information, or on any other grounds, please call the undersigned counsel as soon as possible.

14. If the response to any question is voluminous, please provide separately an index to the materials contained in the response.

15. If the information requested is included in previously furnished exhibits, workpapers, or responses to other discovery inquiries or otherwise, in hard copy or electronic format, please furnish specific references thereto, including Bates Stamp page citations and detailed cross-references.

16. Data should be provided in native electronic format including active EXCEL workbooks and all linked workbooks, with all formulas, cell references, links, etc., intact, functioning, and complete for all tables, figures, and attachments in the testimony.

17. To the extent that a question asks for the production of copyrighted material, it is sufficient to provide a listing of such material, indicating the title, publisher, author, edition, and page references relied on or otherwise relevant to the question.

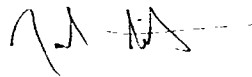
18. Sierra Club reserves the right to serve supplemental, revised, or additional discovery requests as permitted in this proceeding.

### **PRIVILEGE**

If you claim a privilege including, but not limited to, the attorney-client privilege or the work product doctrine, as grounds for not fully and completely responding to any request for information or request for production, describe the basis for your claim of privilege in sufficient detail so as to permit meaningful evaluation of the validity of the claim. With respect to documents for which a privilege is claimed, produce a “privilege log” that identifies the author, recipient, date and subject matter of the documents or interrogatory answers for which you are asserting a claim of privilege and any other information pertinent to the claim that would likewise enable evaluation of the validity of such claims.

Dated: February 23, 2021

Respectfully submitted,



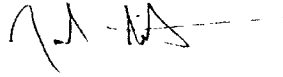
---

Joshua Smith  
Tony Mendoza  
Sierra Club Environmental Law Program  
2101 Webster St., Suite 1300  
Oakland, CA 94612  
Tele: 415-977-5560  
Email: joshua.smith@sierraclub.org  
tony.mendoza@sierraclub.org

*Counsel for Sierra Club*

### **CERTIFICATE OF SERVICE**

I, Joshua Smith, certify that a copy of the foregoing Sierra Club submission was served upon all parties of record in this proceeding on February 23, 2021, by First-class U.S. mail, hand delivery, and/or e-mail, as permitted by the presiding officer.



---

Joshua Smith  
Sierra Club Environmental Law Program



## EXHIBIT A

**SOAH DOCKET NO. 473-21-0538**

PUC DOCKET NO. 51415

APPLICATION OF SOUTHWESTERN )  
ELECTRIC POWER COMPANY FOR )  
AUTHORITY TO CHANGE RATES )  
)  
)  
)

BEFORE THE PUBLIC UTILITY  
COMMISSION OF TEXAS

REFERRED TO THE STATE OFFICE  
OF ADMINISTRATIVE HEARINGS

## SIERRA CLUB'S THIRD SET OF REQUESTS FOR INFORMATION TO SOUTHWESTERN ELECTRIC POWER COMPANY

### 3.1 For the Flint Creek, Welsh, and Turk units:

- a. Please produce all unit disposition studies done by the Company during the last five years and update your answers throughout this proceeding.
- b. Identify all transmission grid updates or changes that would be needed to allow for the retirement of the units.
- c. Produce all analyses or assessments of the need for the continue operations of each unit.
- d. Provide the remaining book value (plant balance) at the start of 2020.
- e. Identify the current undepreciated book value, and the expected undepreciated book value for each year of the remaining operation life of the unit.
- f. Produce all analyses or assessments of the impact that retirement of each unit would have on capacity adequacy, transmission grid stability, transmission grid support, voltage support, or transmission system reliability.

3.2 Refer to SWEPCO response to Sierra Club 1-5, Highly Sensitive Attachment 6.

- a. For each unit, indicate whether the units were modeled with an economic or a self-commitment status for each year of the analysis. If not exclusively one or the other, state how unit commitment was modeled.
- b. Indicate the date the study was conducted.
- c. Indicate the regulation or rationale behind each of the retirement date assumption listed.
- d. Indicate the date used in this analysis, by which ELG compliance must be achieved.
- e. Indicate the date used in this analysis, by which CCR compliance must be achieved.
- f. For all scenarios indicate whether CCR compliance costs, ELG compliance costs, or both ELG and CCR costs were included or excluded.

3.3 Refer to SWEPCO response to Sierra Club 1-8, Highly Sensitive Attachment 1.

- a. Provide the date that the projection was created.
- b. Provide any post-processing workbooks used by the Company to evaluate the model outputs.
- c. Provide the outputs provided in Attachment 1 for any alternative scenarios evaluated using a CO<sub>2</sub> price.
- d. Regarding the power market price forecast used to conduct the analysis.
  - i. Provide the forecast.
  - ii. State the source of the power market price forecast.
  - iii. State the date the forecast was created.
  - iv. If the forecast is from a source other than AEP, explain why an alternative forecast was used.
- e. Regarding the commodity price forecast used to conduct the analysis.
  - i. Provide the forecast.
  - ii. State the source of the commodity price forecast.
  - iii. State the date the forecast was created.
  - iv. If the forecast is from a source other than AEP, explain why an alternative source was used.
- f. Indicate whether a CO<sub>2</sub> price was modeled or integrated into either the power market or commodity forecast.
  - i. If yes, state the CO<sub>2</sub> price used.
  - ii. If yes, produce the CO<sub>2</sub> price forecast used.
  - iii. If yes, indicate the year/month that CO<sub>2</sub> price used was assumed to begin.
  - iv. If no, explain why no CO<sub>2</sub> price was modeled.
- g. Regarding the commitment status used in producing the output results, for each unit provide the following information for each year:
  - i. Indicate whether the unit was modeled with an economic or self-commitment status.
  - ii. If the unit was modeled with more than one commitment status, identify the percentage of hours in each year for which each unit is self-committed and modeled in any other status.
- h. Identify any differences between the assumptions used to conduct this analysis, and the analysis provided in response to Sierra Club 1-5, attachment 6.
- i. Provided the results from an updated model run with the current Pirkey retirement date and the most up-to-date commodity and power price forecasts.

3.4 Refer to Company response to CARD 2-2, and the Company's projection of excess capacity reserves during much of the next decade.

- a. Indicate whether the Company plans to sell any of this excess capacity as a firm capacity product.
  - i. If yes, indicate whether a sale has been finalized.
  - ii. Provide the price and quantity sold or expected to be sold.
- b. Indicate whether the Company sold firm capacity any years between 2015 – 2020.
  - i. If yes, provide the quantity of capacity sold and the price (\$/MW) received from these sales each year.
- c. During the test year, indicate whether the Company sold firm capacity.

- i. If yes, provide the quantity of capacity sold and the price (\$/MW) received from these sales each year.